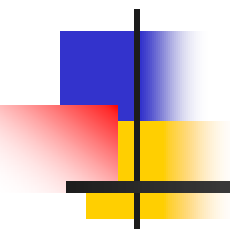


Performance and Registration Information Systems Management (PRISM)



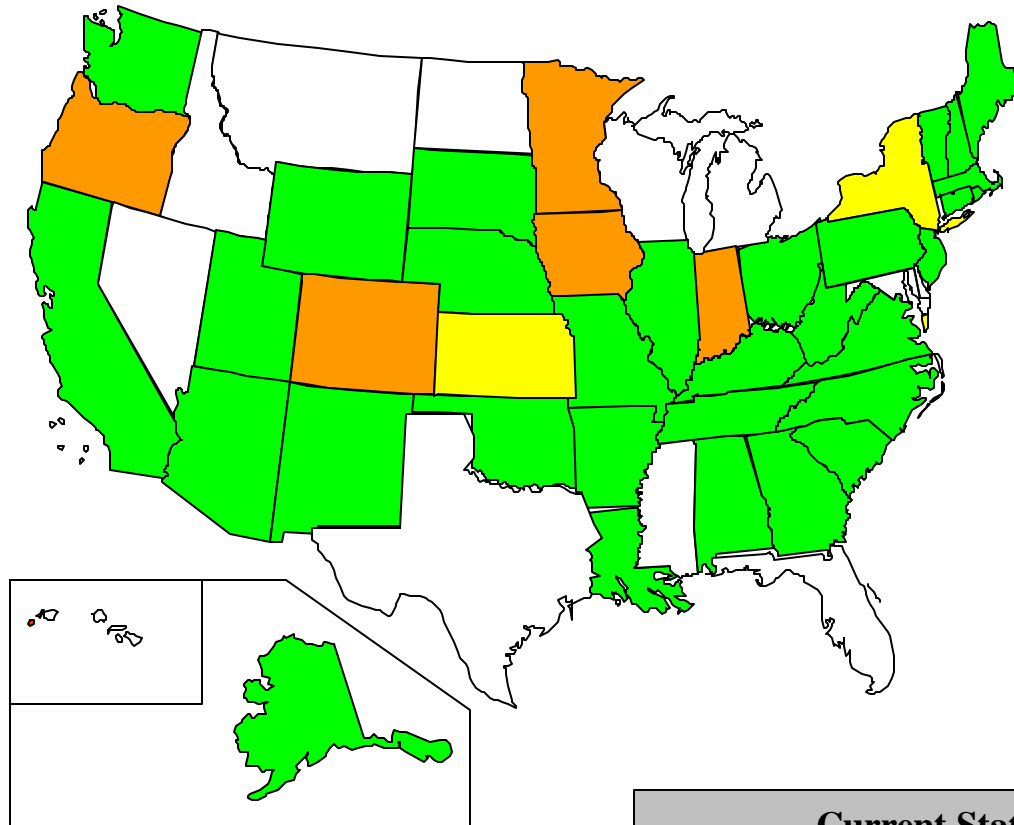
Florida



WHAT IS PRISM ?

- Cooperative Federal/State Program to Link Motor Carrier Safety and Vehicle Registration
- Feasibility Study Mandated by ISTEA of 1991
 - Five State pilot concluded in 1997
- Funding Authorized by TEA-21 for Nationwide Implementation

PRISM: May 2005



Current Status
Pilot State
Letter of Intent
Grant Agreement



How Does PRISM Relate to CVISN?

- Compatible Goals
 - Focus Safety Enforcement Resources on High-Risk Operators
 - Check Motor Carrier Data as part of IRP Renewal Process
 - Same Safety Data
 - PRISM Focus is Safety Only: Is carrier under OOS Order?
- Both Programs Utilize SAFER as a Common Data Exchange Source
- Systems Developed for CVISN can be used to Support Some PRISM Requirements
 - CVIEW



PRISM: TWO PROCESSES

- Motor Carrier Safety Improvement Process
- Commercial Vehicle Registration Process



Motor Carrier Safety Improvement Process (MCSIP)

- MCSIP- Process to Improve the Safety of High Risk Motor Carriers
- Uses SafeStat to Identify and Monitor Motor Carriers
 - Category A-C are considered “In MCSIP”
 - Category A/B Recommended for Compliance Review
 - Category C Recommended for joint Federal/State PRISM Warning Letter
 - This process is already in operation in all 50 States, including Florida



Motor Carrier Safety Improvement Process (MCSIP)

- Ultimate Sanction is a Federal Order to Cease Interstate Transportation and Concurrent State Registration Sanctions
 - Suspension/Revocation at time of Federal Order; and/or
 - Denial at Renewal



Motor Carrier Safety Improvement Process (MCSIP)

- Why Would a Carrier Be Ordered to Cease Interstate Operations?
 - Imminent Hazard
 - Final Unsatisfactory Safety Rating=Unfit
 - Compliance Review and Safety Rating Letters Warn Carriers of Possible State Registration Sanctions if Proposed Rating Becomes Final
 - Failure to Pay Federal Fines
 - "Final Agency Orders" Warn Carriers of Possible State Registration Sanctions
 - Failure of New Entrant Audit After Improvement Opportunity
 - Letters warn of possible State Registration Sanctions
 - New Entrants: Refused Safety Audit



Who Are the Florida Based Carriers Prohibited From Interstate Operations?

Can look on the Web:

Go to: <http://safer.fmcsa.dot.gov>

- **Select “OOS Orders” in the middle column under FMCSA searches**
- **Sort by State**



Choose Menu Option

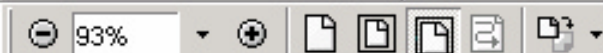


Out Of Service Orders

*A List of Carriers Currently Issued Out of Service Orders by the Federal Motor Carrier Safety Administration.
For further information, please contact the appropriate service center.*

USDOT# MC / MX #	LEGAL NAME DBA NAME	ADDRESS	OOS CATEGORY	OOS DATE	RESCINDED DATE
403522 234873	S J BEAR INC	557 CONSTRUCTION LANE LEHIGH ACRES , FLORIDA 33936	90 day failure to pay fine	05-12-2004	
479914	WALKER BROS CIRCUS INC	410 HOULE AVENUE SARASOTA , FLORIDA 34232	Unsatisfactory = Unfit	01-03-2005	
501712 277310	MIAMI SERVICE EXPRESS INC	7555 NW 63 STREET MIAMI , FLORIDA 33166	90 day failure to pay fine	12-03-2001	
523284	JOHNNY RAY SAPP JR SAPP AUTO CRUSHERS	7622 N GAINER BAYOU RD SOUTHPORT , FLORIDA 32409	Unsatisfactory = Unfit	02-14-2003	
524447 263907	W MARTINEZ TRUCKING CO	865 W 72 STREET HIALEAH , FLORIDA 33014	90 day failure to pay fine	12-09-2002	
529734	ALL FLORIDA AUTO TRANSPORT INC	16431 NE 34TH AVE MIAMI , FLORIDA 33165	Unsatisfactory = Unfit	06-12-2002	
538960 244384	JACK W JOHNSON JACK W JOHNSON TRUCKING	38937 CUMMER RD DADE CITY , FLORIDA 33525	Unsatisfactory = Unfit	09-06-2004	
626039 286361	ATLANTIC EXPRESS LINES INC	1800 W 49TH ST STE 216 HIALEAH , FLORIDA 33012	90 day failure to pay fine	09-06-2002	

662752 310222	MAGIC TRANSPORTATION SERVICES INC	3801 WHITEHALL ST JACKSONVILLE , FLORIDA 32206	90 day failure to pay fine	07-31-2003	
678200	STERLING & REID BROTHERS COMBINED SHOWS INC STERLING & REID BROTHERS CIRCUS	1738 INDEPENDENCE BLVD D1 SARASOTA , FLORIDA 34234	Unsatisfactory = Unfit	04-19-2004	
678200	STERLING & REID BROTHERS COMBINED SHOWS INC STERLING & REID BROTHERS CIRCUS	1738 INDEPENDENCE BLVD D1 SARASOTA , FLORIDA 34234	90 day failure to pay fine	10-12-2004	
682066 317503	CORNERSTONE TRANSPORT INC	10167 N E HWY 314 SILVER SPRINGS , FLORIDA 34488	Unsatisfactory = Unfit	04-28-2004	
692773 321808	CHARLES T NETTLES TIM NETTLES TRUCKING	1100 NORTH MARION AVE LAKE CITY , FLORIDA 32055	Unsatisfactory = Unfit	02-08-2004	
694660	BURLIE LEE HARRISON SOUTHERN PRIDE MOBILE HOME MOVERS	1506 PAGES DAIRY ROAD JACKSONVILLE , FLORIDA 32097	90 day failure to pay fine	03-14-2003	
699545 399691	FIDEL GUTIERREZ F G TRUCKING	964 30TH AVE EAST BRADENTON , FLORIDA 34208	Unsatisfactory = Unfit	06-11-2003	
701676 292140	JERRY BROCK TRANSPORTATION INC	10130 STEVENS DRIVE POLK CITY , FLORIDA 33868	90 day failure to pay fine	09-02-2003	
703177 325213	R & D MOTORCOACHES INC	420 SUMMIT RIDGE PLACE APT 100 LONGWOOD , FLORIDA 32779	Unsatisfactory = Unfit	08-13-2001	
756972 342607	MC CRIMONS TRUCKING INC	22750 BLOUNTSTOWN HYW TALLAHASSEE , FLORIDA 32310	Unsatisfactory = Unfit	08-17-2001	
757734 346152	GORDON W SMITH GORDON'S CONSTRUCTION	20998 -158TH ST LIVE OAK , FLORIDA 32060	Unsatisfactory = Unfit	04-01-2003	
758879 342407	KOVAX LOGISTICS INC	3825 S 50TH STREET TAMPA , FLORIDA 33619	90 day failure to pay fine	10-19-2004	
797811 355388	FAZ TRANSPORT CORPORATION	PO BOX 160951 HIALEAH , FLORIDA 33016	90 day failure to pay fine	07-08-2003	
803248 357816	A C TRANSPORTATION INC	3007 EVERGREEN JACKSONVILLE , FLORIDA 32206	90 day failure to pay fine	08-27-2003	
810642	PYRAMID CARRIER CORPORATION	2816 N 60TH STREET	Unsatisfactory		



State : FLORIDA

USDOT#: ALL

Out of Service Carriers with Activity (Activities from 01/01/2004 to 05/10/2005)

USDOT#	Legal Name	Docket #	Phone #	Date OOS	Reason Code	Undel Code
479914	WALKER BROS CIRCUS INC		8136777527	01/03/2005	UNF	
Physical Address	410 HOULE AVENUE SARASOTA 115 FL 34232	Mailing Address	10904 US HIGHWAY 41 SOUTH GIBSONTON 057 FL 33534			

Event Date	Event Type	Report #	Location
03/11/2005	INSP	WI0000466729	S44

USDOT#	Legal Name	Docket #	Phone #	Date OOS	Reason Code	Undel Code
523284	JOHNNY RAY SAPP JR		8502718139	02/14/2003	UNF	
DBA Name	SAPP AUTO CRUSHERS					
Physical Address	7622 N GAINER BAYOU RD SOUTHPORT 005 FL 32409	Mailing Address	7640 OLD MILL ROAD SOUTHPORT 005 FL 32409			

Event Date	Event Type	Report #	Location
02/07/2005	INSP	FL0806003499	

USDOT#	Legal Name	Docket #	Phone #	Date OOS	Reason Code	Undel Code
662752	MAGIC TRANSPORTATION SERVICES INC	MC 00310222	9043561000	07/31/2003	FSS	
Physical Address	3801 WHITEHALL ST JACKSONVILLE 031 FL 32206	Mailing Address	12214 SPINY RIDGE DRIVE JACKSONVILLE 031 FL 32225			

Event Date	Event Type	Report #	Location
01/05/2004	CRA	FL7317822404	SA 20

USDOT#	Legal Name	Docket #	Phone #	Date OOS	Reason Code	Undel Code
678200	STERLING & REID BROTHERS COMBINED SHOWS		9413657511	10/12/2004	NOP	
DBA Name	STERLING & REID BROTHERS CIRCUS	More UNF(04/19/2004) OOS				
Physical Address	1738 INDEPENDENCE BLVD D1 SARASOTA 115 FL 34234	Mailing Address	1738 INDEPENDENCE BLVD D1 SARASOTA 115 FL 34234			

Event Date	Event Type	Report #	Location
03/13/2005	INSP	NC0138001479	CANTON



93%



State : FLORIDA

USDOT#: ALL

Out of Service Carriers with Activity (Activities from 01/01/2004 to 05/10/2005)

USDOT#	Legal Name	Docket #	Phone #	Date OOS	Reason Code	Undel Code
692773	CHARLES T NETTLES	MC 00321808		02/08/2004	UNF	U

DBA Name TIM NETTLES TRUCKING

Physical Address 1100 NORTH MARION AVE
LAKE CITY 023 FL 32055

Mailing Address ROUTE 10 BOX 1144
LAKE CITY 023 FL 32025

Event Date	Event Type	Report #	Location
04/20/2004	INSP	FL0791000291	

USDOT#	Legal Name	Docket #	Phone #	Date OOS	Reason Code	Undel Code
756972	MC CRIMONS TRUCKING INC	MC 00342607	8505744431	08/17/2001	UNF	

Physical Address 22750 BLOUNTSTOWN HYW
TALLAHASSEE 073 FL 32310

Mailing Address 22750 BLOUNTSTOWN HYW
TALLAHASSEE 073 FL 32310

Event Date	Event Type	Report #	Location
03/29/2004	INSP	LA0000657782	

USDOT#	Legal Name	Docket #	Phone #	Date OOS	Reason Code	Undel Code
810642	PYRAMID CARRIER CORPORATION	MC 00389075	8132491535	10/07/2004	UNF	

Physical Address 2816 N 60TH STREET
TAMPA 057 FL 33619

Mailing Address 2816 N 60TH STREET
TAMPA 057 FL 33619

Event Date	Event Type	Report #	Location
10/12/2004	INSP	FL0213000038	116E

USDOT#	Legal Name	Docket #	Phone #	Date OOS	Reason Code	Undel Code
827875	BASSETT DAIRY PRODUCTS INC		8505845149	07/06/2004	NER	

DBA Name BASSETT'S DAIRY

Physical Address 680 INDUSTRIAL PARK DRIVE
PERRY 123 FL 32348

Mailing Address PO BOX 540
PERRY 123 FL 32348-0540

Event Date	Event Type	Report #	Location
04/11/2005	INSP	FL0796004206	120S



PRISM: Key MCSIP Requirements

- Have or seek the legislative authority to suspend, revoke, or deny vehicle registration based on a Federal Order to Cease Interstate Operations
 - Suspension/Revocation at time of Federal Order
 - Retrieval of Plates
 - Denial at Renewal

OOS REASON	DENY PLATE RENEWAL	ISSUE SUSPENSION NOTICE	PHYSICALLY RETRIEVE PLATES
Final Unsatisfactory Rating	YES	YES	<p>When/if discovered operating at the roadside.</p> <p>Or, when OOS order/State suspension is hand delivered.</p>
Failure to Pay Federal Fines	YES	When evidence exists that the carrier has continued to operate.	<p>When/if discovered operating at the roadside.</p> <p>Or, when/if OOS order/State suspension is hand delivered.</p>
Failing New Entrant Audit	YES	YES	<p>When/if discovered operating at the roadside.</p> <p>Or, when/if OOS order/State suspension is hand delivered.</p>
Carrier not allowing a New Entrant Safety Audit to be conducted	YES	When evidence exists that the carrier has continued to operate.	When/if discovered operating at the roadside.
Imminent Hazard	YES	YES	<p>When/if discovered operating at the roadside.</p> <p>Or, when/if OOS order/State suspension is hand delivered.</p>



PRISM: Key MCSIP Requirements

- Target MCSIP Vehicles for Increased Roadside Inspections
 - NLETS
 - Inspection Selection System (ISS-2) Software
 - Query Central
 - CVIEW developed for CVISN



Commercial Vehicle Registration Process

- International Registration Plan (IRP)
Provides the Framework for the PRISM Program
 - Establishes Link Between the “Motor Carrier Responsible for Safety” and Every Vehicle Registered through the IRP



PRISM: Key Registration Requirements

- Identify and Maintain the USDOT Number for the Registrant and Motor Carrier responsible for the safe operation of each vehicle being registered
 - If there is no actual motor carrier or motor carrier is unknown, the Registrant serves as the DEFAULT MOTOR CARRIER

IRP Form: How the Link is Initiated

Registrant Level: DOT # of the Registrant

Form 442015 12-98

Iowa Department of Transportation

INTERNATIONAL REGISTRATION PLAN VEHICLE SCHEDULE

Page _____ of _____

A Name _____
P.O. Box _____
Street Address _____
City _____ County # _____ State _____ Zip _____

B Mailing Address _____
Name (Person To Contact Regarding Application) _____
Phone _____

C License Year _____ Federal Identification Number _____ **U.S. DOT Number** _____ Acct. No. _____ Fleet No. _____

☐ Title Documentation **DOT OFFICE USE ONLY** Supp. # _____
☐ Supplemental Schedule Reg. Mos. _____
☐ Mileage Schedule Date _____
☐ Heavy Vehicle Use Tax Form 2290 Initials _____

MCS Fax # 515-237-3225 Fax Phone # _____
Temporary Apportionment requested? (Please check one) YES _____ NO _____

Units listed below will be authorized to operate in the jurisdictions and at weights listed below. List weights in the box for each jurisdiction.

AL	AR	AZ	CA	CO	CT	DC	DE	FL	GA	IA	ID	IL	IN	KS	KY	LA	MA
MD	ME	MI	MN	MO	MS	MT	NC	ND	NE	NH	NJ	NM	NV	NY	OH	OK	OR
PA	RI	SC	SD	TN	TX	UT	VA	VT	WA	WI	WV	WY			AB	BC	SK

ADDITIONS

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23
Unit Number	Lessor	Year	Per Yr	Type	Motor	Fuel	Axle	Complete Vehicle Identification Number	Jurisdiction Abbrev. & Title Number	Unladen Weight	Gross Combined Weight	Weight Group Number	Purchase Price	Factory Price	Less Date MDY	Purchase Date MDY	In Overhaul Date MDY	Transfered License Plate No. and Sticker No.	US DOT No.	Per Yr	Dealer Name or Previous License #	Year

* If type is TK and pulls a trailer, is the combined empty weight under 16,000 lbs.? ☐ Yes ☐ No

** Will the control and responsibility for the safety of this vehicle be assigned to a different motor carrier during the registration year by lease? ☐ Yes ☐ No

Straight Deletions or Deletions/Transfer of Credit

Unit Number	Complete Vehicle Identification Number	Deletion Date MM/DD/YY	Plate Number	Iowa Combined Gross Weight	Reason Removed

Duplicate Credential Requests

☐ Replacement cab card \$3.00
☐ Sticker (includes cab card) \$3.50
☐ Plate (includes cab card & sticker) \$10.00

The Undersigned Under Oath Swears Under Penalty Of Perjury The Information Furnished In This Application Is True and Correct.

By: _____ Title: _____ Date: _____

(See Reverse Side For Instructions and Codes.)

Vehicle Level: DOT # of
Carrier Responsible for Safety



PRISM: Key Registration Requirements

- Determine if the motor carrier responsible for safety of each vehicle will change during the registration year
 - State may choose to bar-code carrier information on cab card if carrier responsible for safety is not expected to change
 - PRISM grant money can be used to purchase bar-code readers for roadside inspectors



PRISM: Key Registration Requirements

- Require all registrants to update motor carrier census data (Form MCS-150) if not updated in the last year
 - Deny renewal if MCS-150 data will expire (exceed 24 months) during the registration year
 - USDOT Inspector General recently stressed importance of updated census data.
 - Carriers can update data at any time online at <http://safer.fmcsa.dot.gov>



PRISM: Key Registration Requirements

- Validate the USDOT Number Prior to issuing registration credentials
 - Options:
 - Local PRISM Census File
 - CVIEW
 - If No Match, Access MCMIS



PRISM: Key Registration Requirements

- Relate title information with motor vehicle registration information
 - Need to Notify Registrant and Owner of Carrier's Performance Problems and Possible State Registration Sanctions
 - Example: 45/60 day clock is ticking on a proposed unsat
- Used When Carrier is Different than Registrant and Owner
 - Leasing Companies
 - Owner Operators



PRISM: Key Registration Requirements

- Build and Update the PRISM Target File
 - Receive Daily File of MCSIP Carriers from PRISM Central Site (SAFER)
 - Identify Vehicles associated with the MCSIP Carriers from IRP Files and Send to PRISM Central Site where combined carrier/vehicle target file is established
 - Provide Nightly Updates of Added or Deleted Vehicles
- States Using CVIEW to Send All IRP Vehicle Data to Safer for CVISN May Meet this Requirement without process above
 - Can use PRISM Funding to Support a portion of CVIEW cost

PRISM: Key Registration Requirements



- Have the ability to issue USDOT numbers by online access to MCMIS
 - Customer Service
- Check carrier safety status before issuing IRP credentials
 - Carrier Check: Does USDOT Number Belong to an OOS Carrier ?
 - Vehicle Check: Is the Vehicle VIN Number associated with an OOS Carrier?

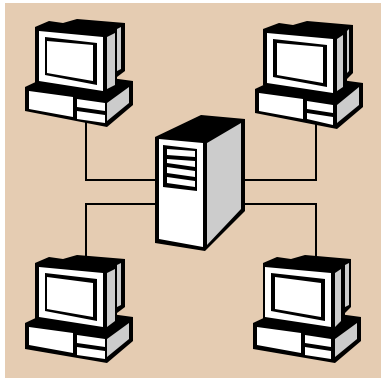
How FMCSA “Shut-Down Orders” Affect a Carrier’s Ability to Renew License Plates in PRISM States



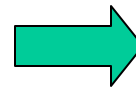
FMCSA issues shut-down and updates Local Federal Database (MCMIS) at Service Center



MCMIS Feeds Centralized Database (SAFER)



SAFER Feeds State IRP Offices



State IRP Denies Renewal if Carrier’s DOT Number Indicates OOS Status or VIN is linked to an OOS Carrier



ABC Express Trucking

Hey Bob – Here's a good one for you. Some Clown from the DOT just sent us a letter that says we can't operate trucks across state lines.





ABC Express Trucking



Looks like I'll have to change our company name to **XYZ Express Trucking**, get another USDOT Number, and keep on trucking !

IRP State Registration Office

Plate Renewal Time

DOT Number Check: “The USDOT Number for XYZ Express Trucking is A – OK”

Vehicle Check: “Wait a minute ! The Safer/PRISM database indicates vehicles with these VIN #'s are linked to ABC Express Trucking. We're gonna have to see a transfer of title, a lease agreement to another carrier, or some kind of evidence that ABC and XYZ are not the same company.”



RSAPPL - [Vehicle Data]

ApplicationFileEditCommandsViewHelp

Exit

Prompt

Inqy

Comments

Additional Info

Submit

Jurisdiction: VT 0001

Reg Yr: 2004Carrier Number: 3928Fleet Number: 001Supplement Number: 000

Vehicle Data One

Vehicle Data Two

Vehicle Data 3rd Screen

Owner Equipment Number: 23

Weight Group Number: 1

Veh Status: 101

TA No:

Reg Nm: MATTHEW J GEVRY

VIN: 1XP7DB9X11D565414Year: 2001Make: FORDType: TT

Fuel Type: DUnladen Weight: 1700Gross/Cmbd Weight: 80000

Axles/Seats: 3

Purchase Price: 100Factory Price: 100Purchased Date: 11/16/04

Leased Date: / /Added to Fleet: 11/16/04Leased/Owned: O

Apportioned Plate No:

Own Nm: MATTHEW J GEVRY

Previous Plate:

New/Used:

USDOT: 1302980TIN: 03037

Heavy Vehicle Use Tax: N

Colorado TK Fee Ind:

Override OOS Order on VIN

VIN: 1XP7DB9X11D565414

Entered Information		Associated USDOT w/OOSO in PRISM
USDOT:	1302980	224597
TIN:	30371981	390863224

The VIN entered exists on another USDOT that has an OOS Order.
Please check that the carrier is not associated with the OOS carrier.

Do you wish to accept the VIN:

Submit

Exit

Warning: Applet Window

start

MVSXPR-Production (...)

RSAPPL - Microsoft In...

RSAPPL - [Vehicle Data]

ACS COMMON ACCO...

11:12 AM

How Would PRISM Affect Florida Carriers?





How Would PRISM Affect Florida Carriers?

- Additional Information Would Be Required To Register Trucks through IRP
- Additional Information Can be Easily Broken into Two Related Categories
 - USDOT Number Information
 - Census Update Information (Form MCS-150)



How Would PRISM Affect Florida Carriers?

- **USDOT Number Information for IRP**
 - Have to Provide the USDOT Number and Tax ID Number of the IRP Account Holder (Registrant)
 - IRP Account Holder May not Be a Motor Carrier in Some Instances
 - Owner Operators that do not have own authority and are under lease to a motor carrier



How Would PRISM Affect Florida Carriers?

- **USDOT Number Information for IRP**
 - Have to Provide the USDOT Number and Tax ID Number of the “Motor Carrier Responsible for Safety” of Each Vehicle on the Account at Time of Registration
 - Use USDOT Number of the IRP Account Holder (Registrant) if:
 - Motor Carrier Responsible for Safety is Unknown;
 - Motor Carrier Responsible for Safety is Expected to Change in Next 30 days.



How Would PRISM Affect Florida Carriers?

- Census Update Information for IRP (Form MCS-150)
 - Updated Census Data Has to be Provided for Every USDOT Number on the IRP Account Unless it Has Been Updated in the Last 12 Months
 - MCS-150 “Date of Update” Can be Checked Online at <http://safer.fmcsa.dot.gov>



How Would PRISM Affect Florida Carriers?

- If the FMCSA Orders a Florida Based Company to Cease Interstate Operations, the State May Suspend the Carrier's IRP Plates and/or Deny Renewal
- Registrants and Owners would be Notified if the Motor Carrier they Have Vehicles Leased to is Facing a Pending "shut-down order"



Benefits of PRISM

- Improved Data Accuracy
 - Annual census updates through registration renewals
 - Bar codes reduce inspection data entry errors
 - Ability to correct non-match inspection and accident records through IRP registration records
- Customer Service - Promotes “one-stop shop” for USDOT number, census update requirements and plates
- Accountability for Safety
 - Every interstate truck registered must have a U.S. DOT number to identify entity responsible for safety.
 - Can't register if under Federal shut-down order.



PRISM

- Letter of Intent to Participate
- PRISM Overview Training & Development of State Implementation Plan
 - On-site Assistance Provided
 - PRISM Implementation Guide
- Preparation of Grant Agreement
 - 100 % Federal Funding
 - Can be used as part of match package for CVISN



Contact Information

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